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15
16 **UNITED STATES DISTRICT COURT**
17 **CENTRAL DISTRICT OF CALIFORNIA**
18

19
20 KEITH ANDREWS, an individual,
et al.,

21 Plaintiffs,

22 v.

23
24 PLAINS ALL AMERICAN
PIPELINE, L.P., a Delaware limited
25 partnership, et al.,

26 Defendants.
27
28

Case No. 2:15-cv-04113-PSG-JEMx

**PLAINTIFFS' NOTICE OF
MOTION AND MOTION FOR
ATTORNEYS' FEES, EXPENSES,
AND SERVICE AWARDS UNDER
RULE 23(H)**

Date: September 16, 2021
Time: 1:30 p.m.
Location: Courtroom 6A
Judge: Hon. Philip S. Gutierrez

1 TO ALL THE PARTIES AND COUNSEL OF RECORD:

2 PLEASE TAKE NOTICE that on September 16, 2022, at 1:30 p.m., or as
3 soon thereafter as the matter may be heard by the Honorable Philip S. Gutierrez in
4 Courtroom 6A of the above-entitled court, located at 350 West First Street, Los
5 Angeles, CA 90012-4565, Plaintiffs will and hereby do move the Court, pursuant to
6 Rule 23 of the Federal Rules of Civil Procedure, for an Order:

- 7 A. Approving the request for attorneys' fees to Class Counsel in the
8 amount of \$73,600,000, or 32% of each of the Settlement Funds;
- 9 B. Approve reimbursement of litigation expenses of \$6,085,336; and
- 10 C. Approve service awards of \$15,000 to compensate ten Fisher Class
11 Representatives and four Real Property Class Representatives in the
12 Consolidated Second Amended Complaint (Dkt. 31) and Settlement
13 Agreement (Dkt. 944-1, Exhibit 1, Art. II.18 and 28), for a total of
14 \$210,000.

15 This motion is based on the attached supporting memorandum; the
16 accompanying declarations and exhibits; the pleadings, papers, and records on file
17 in this action, including those submitted in support of Plaintiffs' Motion for Final
18 Approval; any further papers filed in support of this motion; and arguments of
19 counsel.

20
21 Dated: July 29, 2022

Respectfully submitted,

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23 BERNSTEIN, LLP

24 By: s/Robert J. Nelson

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**PLAINTIFFS' MEMORANDUM OF
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1 **I. INTRODUCTION**

2 After seven years of hard-fought and high-risk litigation, Class Counsel
3 negotiated a Settlement of \$184 million for the Fisher Class and \$46 million for the
4 Property Class, for a total Settlement amount of \$230 million.¹

5 Class Counsel now move the Court for an attorneys' fees award of 32% of
6 the Settlement Funds, or \$73.6 million. This request "falls within the 30 to 33
7 percent range allowed in common fund cases," *Flo & Eddie, Inc. v. Sirius XM*
8 *Radio, Inc.*, 2017 WL 4685536, at *7 (C.D. Cal. May 8, 2017) (Gutierrez, J.), and is
9 strongly supported by each of the factors to be considered under Ninth Circuit law.²

10 **First**, the Settlement represents an outstanding result for the Classes. The
11 settlement amounts represent large percentages of total classwide damages, and
12 should result in meaningful payments to all Class Members. **Second**, the result is
13 even more impressive in light of the complexity, novelty, and scale of this
14 litigation. The Settlement was reached on the eve of trial, and was preceded by the
15 production and review of over a million pages of discovery, 100 depositions, 52
16 reports submitted by 27 experts covering a broad range of highly technical subject
17 matter, and a seemingly endless series of dispositive or case-altering motions by
18 Plains related to expert opinions, class certification, summary judgment, and the
19 trial plan.

20 **Third**, Class Counsel pursued this case over seven years purely on
21 contingency and thus endured substantial risk. Indeed, of the four classes initially
22 pled, one was not certified, and another was certified but reversed on appeal. Even

23 _____
24 ¹ All capitalized terms used herein have the meaning set forth in the Class Action
25 Settlement Agreement ("Settlement Agreement" or "Settlement") (Dkt. 944-1,
26 Exhibit 1), unless otherwise indicated.

27 ² See generally the accompanying Declaration of Brian Fitzpatrick In Support of
28 Class Counsel's Motion for Attorneys' Fees. Professor Fitzpatrick, a scholar at
Vanderbilt Law School, has provided a comprehensive analysis of attorneys' fees in
class actions, as well as the factors courts consider when evaluating the propriety of
a fee request, and opines that Class Counsel's fee request here is fair and
reasonable.

1 as to the two Classes now being settled, class certification was in question until the
2 trial plan dispute was resolved in January 2022, and Plains would have continued
3 its challenge through trial and appeal. Thus, unlike cases that settle shortly after
4 class certification, here, the substantial risks of the case lasted the entirety of the
5 seven years of litigation, during which time Class Counsel invested tens of millions
6 of dollars of time and over \$6 million in out-of-pocket costs. This was an
7 extraordinarily risky case to pursue on contingency, and a higher percentage fee
8 than the Ninth Circuit’s benchmark is well justified as a result.

9 **Fourth**, the Settlement robustly supplements the public prosecutorial efforts
10 of the California State Attorney General and the Santa Barbara District Attorney
11 arising out of Plains’ 2015 spill. This civil prosecution and Settlement will help
12 ensure that many of the victims of Plains’ criminal misconduct are fairly
13 compensated, and that there is greater accountability for oil and pipeline companies
14 entrusted with work in environmentally sensitive areas.

15 **Fifth**, the requested 32% fee request compares well with similar settlements,
16 meaning, those with a similar litigation history and complexity, as well as
17 settlement size. When cases are as heavily litigated as this one – not to mention
18 yielding this successful of a result – courts do not hesitate to award fees up to one-
19 third of the common fund.

20 **Sixth**, and finally, the requested 32% fee results in a multiplier of only 1.26,
21 which is at the lower end of the range considered presumptively reasonable in this
22 Circuit, and is far lower than multipliers in comparably-sized “megafund”
23 settlements. In sum, given the quality of the Settlement and the substantial risks
24 undertaken, an award of 32 percent of the Funds is appropriate.

25 In addition to attorneys’ fees, Class Counsel also respectfully request that the
26 Court award reimbursement of \$6,085,336 in litigation expenses, all of which were
27 reasonably incurred and necessary for the prosecution of the case. § III.B. Finally,
28 the Class Representatives each seek \$15,000 service awards in recognition of their

1 time and effort on behalf of the Classes. § III.C.

2 **II. BACKGROUND**

3 Plaintiffs have also detailed the extensive history of this litigation in their
4 accompanying motion for final approval and the concurrently-filed Nelson
5 Declaration. In the interest of efficiency, Class Counsel will not repeat that history
6 here, but rather incorporate it by reference. In sum, this litigation was hotly
7 contested over a seven-year period, involved countless complex and highly
8 technical factual disputes as well as cutting-edge legal arguments, and only settled
9 on the eve of trial.

10 **III. ARGUMENT**

11 **A. Class Counsel’s Requested Fee is Fair and Reasonable**

12 Attorneys’ fee awards in class action cases are governed by Federal Rule of
13 Civil Procedure 23(h), which provides that after a class has been certified, the Court
14 may award reasonable attorneys’ fees and costs. The Court’s role is to “‘carefully
15 assess’ the reasonableness of the fee award.” *Brown v. CVS Pharmacy, Inc.*, 2017
16 WL 3494297, at *5 (C.D. Cal. Apr. 24, 2017) (Gutierrez, J.) (quoting *Staton v.*
17 *Boeing Co.*, 327 F.3d 938, 963 (9th Cir. 2003)).

18 Where litigation leads to the creation of a common fund, courts can
19 determine the reasonableness of a request for attorneys’ fees using either the
20 common fund method or the lodestar method. *In re Bluetooth Headset Prods. Liab.*
21 *Litig.*, 654 F.3d 935, 944–45 (9th Cir. 2011). However, “[t]he use of the
22 percentage-of-the-fund method in common-fund cases is the prevailing practice in
23 the Ninth Circuit for awarding attorneys’ fees and permits the Court to focus on
24 showing that a fund conferring benefits on a class was created through the efforts of
25 plaintiffs’ counsel.” *In re Korean Air Lines Co., Ltd. Antitrust Litig.*, 2013 WL
26 7985367, at *1 (C.D. Cal. Dec. 23, 2013). The percentage-of-the-fund method
27 confers “significant benefits...including consistency with contingency fee
28 calculations in the private market, aligning the lawyers’ interests with achieving the

1 highest award for the class members, and reducing the burden on the courts that a
2 complex lodestar calculation requires.” *Tait v. BSH Home Appliances Corp.*, 2015
3 WL 4537463, at *11 (C.D. Cal. July 27, 2015); *see* 5 William B. Rubenstein,
4 *Newberg on Class Actions* §§ 15:62, 15:65 (5th ed. 2020).³ The key purpose of the
5 common fund doctrine is to share the burden of a party’s litigation expenses among
6 those who benefit from them. *See In re Wash. Pub. Power Supply Sys. Sec. Litig.*,
7 19 F.3d 1291, 1300 (9th Cir. 1994).

8 Under the percentage method, courts often begin with a benchmark of 25%
9 of the fund. *Vizcaino*, 290 F.3d at 1048. While the Ninth Circuit has cautioned that
10 this benchmark “may be of little assistance” in so-called megafund cases, i.e.,
11 settlements in excess of \$100 million, it has also repeatedly *rejected* a “sliding-
12 scale” requiring fee percentages to decline as the size of the fund increases. *In re*
13 *Optical Disk Drive Prods. Antitrust Litig.*, 959 F.3d 922, 931, 933 (9th Cir. 2020)
14 (citation omitted). Rather, in all cases, including megafund cases, the selection of a
15 percentage must “take into account all of the circumstances of the case.” *Vizcaino*,
16 290 F.3d at 1048. Courts may appropriately consider the benchmark as part of this
17 evaluation. *See, e.g., In re Apple Inc. Device Performance Litig.*, 2021 WL
18 1022866 (N.D. Cal. Mar. 17, 2021), *judgment entered*, 2021 WL 1702606 (N.D.
19 Cal. Mar. 23, 2021) (starting with 25% benchmark in \$310 million settlement).

20 In selecting an appropriate percentage, above or below the benchmark, courts
21 are to consider the factors the Ninth Circuit has established, including: (1) the
22 results achieved by class counsel; (2) the complexity of the case and skill required;
23 (3) the risk of litigation; (4) the benefits beyond the immediate generation of a cash
24 fund; (5) awards made in similar cases; (6) the contingent nature of the

25 _____
26 ³ The common fund approach is also endorsed by California law, a relevant
27 consideration given that the Classes’ claims are brought under this state’s law. *See*
28 *Laffitte v. Robert Half Int’l Inc.*, 1 Cal. 5th 480, 503 (2016) (endorsing percentage
of the fund approach and affirming an award equal to one-third of the common
fund); *see also Vizcaino v. Microsoft Corp.*, 290 F.3d 1043, 1047 (9th Cir. 2002).

1 representation and financial burden carried by counsel; and (7) a lodestar cross-
2 check. *Flo & Eddie*, 2017 WL 4685536, at *7 (citing *In re Omnivision Techs., Inc.*,
3 559 F. Supp. 2d 1036, 1046 (N.D. Cal. 2008)); *Vizcaino*, 290 F.3d at 1048-52.

4 As detailed below, each of these factors strongly supports Class Counsel’s
5 32% fee request. See generally Declaration of Brian Fitzpatrick in Support of Class
6 Counsel’s Motion for Fee Award (“Fitzpatrick Decl.”), ¶¶ 6-36. Additionally, and as
7 demonstrated by the lodestar cross-check, the requested award would not constitute
8 a windfall to Class Counsel. The requested fee would constitute an extremely
9 modest lodestar-multiplier of 1.26, and that modest multiplier will continue to
10 decrease during the administration of the Settlement.

11 **1. Class Counsel have obtained an exceptional result for the**
12 **Class.**

13 The benefit Class Counsel secured for the Classes is the single most
14 important factor in evaluating the reasonableness of a requested fee. *Bluetooth*, 654
15 F.3d at 942; *Omnivision Techs.*, 559 F. Supp. 2d at 1046. Courts recognize that “the
16 law appropriately provides for some upward adjustment [from the 25 percent
17 benchmark] where the results achieved are significantly better than the norm.”
18 *Rodman v. Safeway, Inc.*, 2018 WL 4030558, at *3 n.3 (N.D. Cal. Aug. 22, 2018).

19 That is precisely the case here. Whereas settlements are often approved
20 where only small percentages of the damages are recovered,⁴ here, Class Counsel
21 secured very large shares of the Classes’ maximum potential compensatory
22

23 ⁴ See *Omnivision Techs.*, 559 F. Supp. 2d at 1046 (settlement valued at nine percent
24 of possible damages, more than triple the average recovery in securities class action
25 settlements); *In re Wells Fargo & Co. S’holder Derivative Litig.*, 445 F. Supp. 3d
26 508, 522 (N.D. Cal. 2020), *aff’d*, 845 F. App’x 563 (9th Cir. 2021) (\$240 million
27 common fund compensating 6.9 to 9.6 percent of Plaintiffs’ estimated losses); *In re*
28 *Dynamic Random Access Memory (DRAM) Antitrust Litig.*, 2013 WL 12387371, at
*16 (N.D. Cal. Nov. 5, 2013), *report and recommendation adopted sub nom. In re*
Dynamic Random Access Memory Antitrust Litig., 2014 WL 12879521 (N.D. Cal.
June 27, 2014) (settlement fund representing 12 percent of the defendants’
overcharges). See also Fitzpatrick Decl., ¶ 26.

1 damages (*i.e.*, assuming a *complete* victory at trial and appeal). The \$46 million
2 Property Class Settlement represents over half of the maximum classwide
3 compensatory damages. The \$184 million Fisher Class Settlement is over 90% of
4 the claimed damages through 2017, and 36% of damages through 2020.⁵ Dkt. 929-
5 2, Ex. B at 9, ¶ 19.⁶ Moreover, as detailed in the accompanying motions in support
6 of final settlement approval and the plans of distribution, these classwide settlement
7 amounts will result in meaningful payments to all members of each of the Classes.

8 Courts have repeatedly approved percentage fees at or near one-third when
9 counsel achieved similarly strong results. *See In re Heritage Bond Litig.* (“*Heritage*
10 *I*”), 2005 WL 1594389 (C.D. Cal. June 10, 2005) (awarding 33.33% of \$27.8
11 million in fees to counsel that recovered 36% of the class’s total net loss); *Boyd v.*
12 *Bank of Am. Corp.*, 2014 WL 6473804, at *9-12 (C.D. Cal. Nov. 18, 2014)
13 (awarding one-third in fees when the common fund represented 36% of damages);
14 *Carlin v. DairyAmerica, Inc.*, 380 F. Supp. 3d 998, 1021, 1023 (E.D. Cal. 2019)
15 (awarding 33.3% of a \$40 million common fund that represented 48% of damages);
16 *Syed v. M-I, L.L.C.*, 2017 WL 3190341, at *4, *6-8 (E.D. Cal. July 26, 2017)
17 (awarding one-third in fees where the common fund represented 35% of
18 damages); *Richardson v. THD At-Home Servs., Inc.*, 2016 WL 1366952, at *12
19 (E.D. Cal. Apr. 6, 2016) (awarding 30% of the gross fund amount as attorneys’ fees
20 where per-class member damages awards were “substantial,” averaging over
21 \$5,000); *cf. In re Initial Pub. Offering Sec. Litig.*, 671 F. Supp. 2d 467 (S.D.N.Y.
22 2009) (awarding 33.33% of \$510.3 million when class members were estimated to
23 recover only about 2% of their damages).

24
25
26 ⁵ In April 2022, just before reaching the Settlement, the damages period was
27 extended to 2020 when the Court denied Plains’ motion to strike Dr. Rupert’s
supplemental report regarding damages from 2018-2020. Dkt. 929 at 5-6; Dkt. 937.

28 ⁶ Even with fees deducted, the Property Class recovers 35% of its damages, and the
Fisher Class recovers 65% of damages through 2017, or 25% through 2020.

1 As these cases demonstrate, on the strength of the result alone, the Court
2 would be well within its discretion to award the requested 32% fee. However, the
3 request has even stronger support here because Class Counsel achieved these
4 impressive results in the face of an extraordinarily difficult and challenging case.
5 As detailed in section V.A.3. of Plaintiffs' Motion for Final Approval, achieving
6 the maximum claimed damages would have required Plaintiffs to run the table on
7 complex issues of liability, injury, damages, and class certification at trial and
8 through appeal. Plains vigorously disputed the negligence case, the amount of oil
9 spilled, where the oil went, the proper measure of damages for both Classes, and the
10 propriety of class certification. A loss on any of these issues at trial in this Court or
11 on appeal might have erased the Classes' recoveries altogether. Alternatively, the
12 Classes may well have won on liability, only to have the jury award fewer damages
13 than requested. For example, based on Plains' most charitable estimate of Fisher
14 Class damages, the proposed Settlement is *two-and-a-half times* the Fisher Class's
15 damages through 2017. *See* Dkt. 872-11 at 9-10 (Defendants' expert opining that
16 the *maximum possible damages* for the Fisher Class is \$71.3 million).

17 With the risks of continued litigation and appeal in mind, the Settlement is all
18 the more impressive and worthy of a high percentage fee. *Vizcaino*, 290 F.3d at
19 1048 (affirming the district court's finding that counsel "achieved exceptional
20 results for the class" despite "the absence of supporting precedents," in the face of
21 difficult facts, and "against [Defendant]'s vigorous opposition throughout the
22 litigation") (citation omitted); *Lopez v. Youngblood*, 2011 WL 10483569, at *6-7
23 (E.D. Cal. Sept. 2, 2011) (exceeding the benchmark where "[t]he authority upon
24 which Plaintiffs were able to rely was relatively scant," but "[d]espite these
25 obstacles, Plaintiffs' counsel succeeded in obtaining a favorable determination from
26 this Court, and succeeded in reaching a mediated settlement"). *See* Fitzpatrick
27 Decl., ¶¶ 27-30.
28

1 **2. The Settlement resulted from Class Counsel’s zealous**
2 **representation in this extremely complex litigation.**

3 Courts recognize that higher percentages are warranted where Class Counsel
4 achieve a positive result in a complex case. *See In re Pac. Enters. Sec. Litig.*, 47
5 F.3d 373, 379 (9th Cir. 1995) (33% fee “justified because of the complexity of the
6 issues and the risks”); *In re Heritage Bond Litig. (“Heritage II”)*, 2005 WL
7 1594403, at *21 (C.D. Cal. June 10, 2005) (same); *In re TFT-LCD (Flat Panel)*
8 *Antitrust Litig.*, 2011 WL 7575003, at *1 (N.D. Cal. Dec. 27, 2011) (awarding
9 attorneys’ fees of 30% of the \$405 million settlement in a case “involving complex
10 and difficult issues of fact and law”).

11 As detailed in the accompanying Nelson Declaration, this case required an
12 extraordinary degree of skill and experience to prosecute. Factually, it touched on
13 numerous highly technical matters concerning oil transport and oil fate, pipeline
14 integrity, spill volume, pipeline control room operations, fish biology, lost fish
15 catch regression analyses, fisher industry accounting and lost profits, real estate
16 mass appraisal, and lost rental value damages. Nelson Decl., at ¶¶ 11, 14, 15.
17 Written discovery was extensive. The case involved the production of over 360,000
18 documents, totaling over 1.5 million pages and spanning the many technical topics
19 outlined above. Class Counsel were also charged with comprehensively reviewing
20 and understanding Plains’ documents, which required substantial time by counsel
21 and consultation with experts and consultants. *Id.* ¶ 11.

22 The case was expert heavy, with 27 disclosed experts producing 52 reports
23 and sitting for 46 depositions, an extraordinary number by any measure. *Id.* ¶¶ 15,
24 16, 18. Counting both fact and expert discovery, the parties took over 100
25 depositions in this matter. *Id.* ¶ 18. Courts do not hesitate to award large percentage
26 fees when Class Counsel take on such a significant litigation effort. *See Heritage II*,
27 2005 WL 1594403, at *7 (one-third fee where counsel had “reviewed
28 approximately 1.1 million pages of documents produced by various defendants and

1 [had] taken thirty-four depositions”).

2 Legally, the certification of both classes was novel, which also supports a
3 higher percentage fee. *See In re Anthem, Inc. Data Breach Litig.*, 2018 WL
4 3960068, at *12 (N.D. Cal. Aug. 17, 2018) (awarding 27% of the \$115 million
5 settlement where “class certification was not guaranteed, in part because Plaintiffs
6 had a scarcity of precedent to draw on”). While Class Counsel are confident in the
7 propriety of class treatment for both Classes, it is noteworthy that there is no direct
8 precedent for a property tort class or for a fisher lost profits class under California
9 law. Nelson Decl., ¶ 9.

10 Not surprisingly, then, class certification was hotly disputed over the course
11 of numerous motions. For the Property Class, Plains submitted three expert reports
12 opposing class certification, (Dkt. 430), moved to strike Plaintiffs’ two experts that
13 were key to certification (Dkts. 440, 556-1, 557-1), filed Rule 23(f) petitions to
14 overturn class certification,⁷ and filed three motions to decertify the Class (Dkts.
15 555-1, 663, 874). Likewise, for the Fisher Class, Plaintiffs certified a highly unique
16 if not unprecedented lost-profit class, successfully amended the Fisher Class
17 definition to significantly broaden its scope (Dkt. 577), and defeated Plains’
18 petition to the Ninth Circuit,⁸ three motions to decertify (Dkts. 566, 647, 874), and
19 numerous motions to exclude and strike the opinions of Plaintiffs’ experts (Dkts.
20 567, 568, 649, 929). In addition, Plains’ trial plan was itself a *de facto*
21 decertification effort that argued that each member of the Classes would have to
22 present individualized evidence. Dkt. 754 at 3-6. Class Counsel successfully
23 opposed all of these efforts, but only through painstaking and thorough expert
24 discovery and legal advocacy.

25
26 ⁷ *Andrews et. al., v. Plains All American Pipeline, et. al.*, Case No. 18-80054, Dkt. 4
27 (June 27, 2018).

28 ⁸ *Andrews et. al. v. Plains All American Pipeline, et. al.*, Case No. 19-80167, Dkt. 1
(July 27, 2020).

1 Finally, Class Counsel successfully handled this protracted litigation against
2 a company with significant financial and legal resources, and represented by a
3 prominent litigation firm. “In addition to the difficulty of the legal and factual
4 issues raised, the court should also consider the quality of opposing counsel as a
5 measure of the skill required to litigate the case successfully.” *In re Am. Apparel,*
6 *Inc. S’holder Litig.*, 2014 WL 10212865, at *22 (C.D. Cal. July 28, 2014); *see, e.g.,*
7 *In re Apple*, 2021 WL 1022866, at *6 (“Class Counsel faced a company with
8 significant financial and legal resources,” that “was represented in this case by two
9 national, highly respected law firms, . . . which weighs in favor of a fee award.”).
10 This, too, favors Class Counsel’s request.

11 **3. This was an extraordinarily risky case to litigate on**
12 **contingency.**

13 “The risks assumed by Class Counsel, particularly the risk of non-payment
14 or reimbursement of expenses, is a factor in determining counsel’s proper fee
15 award.” *Heritage I*, 2005 WL 1594389, at *14; *In re Apollo Grp. Inc. Sec. Litig.*,
16 2012 WL 1378677, at *7 (D. Ariz. Apr. 20, 2012) (“An upward departure from the
17 25% benchmark figure is warranted in this case because an exceptional result was
18 achieved and it was extremely risky for Class Counsel to pursue this case through
19 seven years of litigation.”). Courts properly reward attorneys who assume
20 representation on a contingent basis to compensate them for the risk that they might
21 be paid nothing at all. *See Wash. Pub. Power*, 19 F.3d at 1299. This encourages the
22 legal profession to assume such risks and promotes competent representation for
23 plaintiffs who could not otherwise hire an attorney. *Id.*

24 It is difficult to overstate the risks Class Counsel bore to achieve this result.
25 Class Counsel took the case purely on contingency, devoting tens of thousands of
26 hours and advancing many millions of dollars in litigation expenses, all with no
27 guarantee of reimbursement. Nelson Decl., ¶¶ 24, 30-33, Exs. 1 and 2. In so doing,
28 Class Counsel “turn[ed] down opportunities to work on other cases to devote the

1 appropriate amount of time, resources, and energy necessary to responsibly handle
2 this complex case.” *In re Volkswagen “Clean Diesel” Mktg., Sales Pracs., &*
3 *Prods. Liab. Litig.*, 2017 WL 1047834, at *3 (N.D. Cal. Mar. 17, 2017). This factor
4 strongly supports Class Counsel’s request.

5 This risk was of course increased by the length and novelty of the litigation,
6 as summarized above and in section V.A.3. of Plaintiffs’ Motion for Final
7 Approval. Further underscoring that risk, of the four classes initially pled, Plaintiffs
8 were unsuccessful in certifying one of them (the tourism class), and had the
9 certification of another (the oil industry class) reversed on appeal. *Andrews et. al. v.*
10 *Plains All American Pipeline, et. al.*, Case No. 18-55850, Dkt. 77-1 (July 3, 2019)
11 (decertifying the Oil Industry subclass). Realistically, until the Court approved the
12 trial plan in January 2022 (Dkt. 911), class certification even as to the settling
13 Classes remained disputed. This means that substantial risk accompanied Class
14 Counsel and their extraordinary investment in the case during virtually the entire
15 seven-year litigation.

16 Given the outsized risks borne by Class Counsel for seven years in pursuing
17 this novel and complex class action, the requested 32% fee is well justified. *Cf. In*
18 *re Cathode Ray Tube Antitrust Litig.*, 2017 WL 11679811, at *2 (N.D. Cal. June 8,
19 2017) (awarding class counsel 30% of the \$84.75 million settlement in “a contested
20 and well-litigated case where a substantial jury award was by no means assured”);
21 *Pac. Enters.*, 47 F.3d at 379 (33% of the common fund as attorneys’ fees was
22 justified because of the complexity of the issues and the risks). *See Fitzpatrick*
23 *Decl.*, ¶¶ 26-31.

24 **4. Public benefits obtained beyond the immediate generation of**
25 **a cash fund support the requested award.**

26 Beyond the Class, there are significant benefits to the public flowing from
27 this litigation. “Incidental or non-monetary benefits conferred by the litigation are a
28 relevant circumstance” (*Vizcaino*, 290 F.3d at 1049), and courts may “consider the

1 public benefits of counsel’s efforts in determining the level of reasonable
2 compensation.” *Bebchick v. Wash. Metro. Area Transit Comm’n*, 805 F.2d 396, 408
3 (D.C. Cir. 1986). While this litigation brings monetary relief to the Class, it also
4 delivers important relief to all California residents by holding a multi-billion dollar
5 corporation accountable for its oil spill, thereby sharply raising the cost of causing
6 environmental harm in the California and putting similar corporations on notice.
7 Moreover, given that Plains was convicted of a crime, the Class Members had a
8 right to criminal restitution from the company (Cal. Penal Code § 1202.4) – a
9 function now served in one fell swoop for thousands of Plains’ victims through this
10 Settlement. This public benefit provides further support for the requested 32% fee
11 award. *See, e.g., Vizcaino*, 290 F.3d at 1049 (“by clarifying the law of temporary
12 worker classification,” “many workers...received the benefits associated with full
13 time employment.”); *Bebchick*, 805 F.2d at 408 (placing significant weight on the
14 public benefit of persuading the court that defendant had set transit fares
15 unreasonably high).

16 **5. Class Counsel’s requested fee percentage is in line with**
17 **similar cases and standard contingency fee agreements.**

18 A court should also consider fee awards from similar cases. *Vizcaino*, 290
19 F.3d at 1049-50. This Court has recognized that a requested percentage that “falls
20 within the 30 to 33 percent range allowed in common fund cases” generally favors
21 the award. *Flo & Eddie*, 2017 WL 4685536, at *7 (citing numerous cases granting
22 fee awards above the 25 percent benchmark); *see also In re Lidoderm Antitrust*
23 *Litig.*, 2018 WL 4620695, at *4 (N.D. Cal. Sept. 20, 2018) (“[A] fee award of one-
24 third is within the range of awards in this Circuit.”). Further, courts frequently
25 award fees of about one-third in cases as large as (or even larger than) this one.⁹

26 _____
27 ⁹ *In re: Syngenta AG MIR 162 Corn Litig.*, 357 F.Supp.3d 1094, 1110 (D. Kan.
28 2018) (33 1/3% of \$1.5 billion); *In re: Urethane Antitrust Litig.*, 2016 WL
4060156, at *6 (D. Kan. July 29, 2016) (33.33% of \$835 million); *In re Initial Pub.*
Offering Sec. Litig., 671 F. Supp. 2d 467, 516 (S.D.N.Y. 2009) (33% of \$510

1 To the extent a court compares a proposed settlement to others, the
2 comparison should take into account the complexity, duration, and amount of work
3 that class counsel dedicated to the litigation. *See Heritage II*, 2005 WL 1594403, at
4 *9; *Vizcaino*, 290 F.3d at 1048 (“Selection of the benchmark or any other rate must
5 be supported by findings that take into account all of the circumstances of the
6 case.”). The size of the fund is one of these circumstances but is not controlling; in
7 fact, the Ninth Circuit has repeatedly rejected a sliding-scale rule regarding the size
8 of a settlement fund in relation to the percentage of attorneys’ fees that may be
9 awarded. *Optical*, 959 F.3d at 933. *See also* Fitzpatrick Decl. ¶ 22.

10 Here, the requested 32% award falls within the range in this Circuit, and is
11 also reasonable when compared to fees awarded in similar settlements – those of
12 comparable settlement value, litigation history, and complexity. For example, in
13 *Apollo*, the parties settled for \$145 million after seven years of litigation. 2012 WL
14 1378677, at *3, *7. Considering that the case was heavily litigated, and that class
15 counsel had “pursued the litigation despite great risk” and expended an
16 “exceptional amount of time and money,” the court awarded class counsel a
17 33.33% fee, which amounted to a 1.74 multiplier. *Id.* at *7.

18 *Apollo* is not an outlier. Courts regularly grant high percentage awards under
19 similar circumstances. *See Lidoderm*, 2018 WL 4620695, at *1 (awarding 1/3 of
20 \$105 million, resulting in a 1.37 multiplier, after several years of risky litigation);
21 *TFT-LCD*, 2011 WL 7575003, at *1 (30% of \$405 million settlement after six years

22
23 million); *In re Vitamins Antitrust Litig.*, 2001 WL 34312839, at *10, *14 (D.D.C.
24 July 16, 2001) (34% of \$359 million); *Hale v. State Farm*, No. 12-00660-DRH-
25 SCW, 2018 WL 6606079, at *13 (S.D. Ill. Dec. 16, 2018) (33.33% of \$250
26 million); *In re Tricor Direct Purchaser Antitrust Litig.*, No. 05-340-SLR, 2009 WL
27 10744518, at *5 (D. Del. Apr. 23, 2009) (33% of \$250 million); *In re Relafen*
28 *Antitrust Litig.*, No. 01-12239, Dkt. 297 (D. Mass. Apr. 9, 2004) (33% of \$175
million); *In re Combustion Inc.*, 968 F. Supp. 1116, 1142 (W.D. La. 1997) (36% of
\$127 million); *In re Lithium Ion Batteries Antitrust Litig.*, 2020 WL 7264559, at *6
(N.D. Cal. Dec. 10, 2020) (awarding “just under 30%” of the \$113.45 million
fund).

1 of litigation “involving complex and difficult issues of fact and law”); *Greenville v.*
2 *Syngenta Crop Prot., Inc.*, 904 F. Supp. 2d 902, 904, 907 (S.D. Ill. 2012) (33.33%
3 of \$105 million, equivalent to a 1.34 multiplier, in a seven-year long pollution
4 case); *In re Linerboard Antitrust Litig.*, 2004 WL 1221350 (E.D. Pa. June 2,
5 2004), *amended*, 2004 WL 1240775 (E.D. Pa. June 4, 2004) (30% of \$202.5
6 million settlement, a 2.66 multiplier, following six years of risky litigation).
7 Professor Fitzpatrick likewise observes that fee percentages should be significantly
8 higher for cases that settle further into the case. Fitzpatrick Decl., ¶¶ 25, 31.

9 Thus, the requested 32% award is consistent with fee awards in class action
10 cases generally, and in cases of similar size and complexity. This factor clearly
11 supports Class Counsel’s request.

12 **6. A lodestar cross-check confirms the requested fees are**
13 **reasonable.**

14 Courts sometimes employ a “streamlined” lodestar analysis to “cross-check”
15 the reasonableness of a requested award. *Vizcaino*, 290 F.3d at 1050. “[W]hile the
16 primary basis of the fee award remains the percentage method, the lodestar may
17 provide a useful perspective on the reasonableness of a given percentage award.”
18 *Id.* “The aim is to do rough justice, not to achieve auditing perfection.” *In re Apple*,
19 2021 WL 1022866, at *7 (citation omitted); *see also In re Capacitors Antitrust*
20 *Litig.*, 2018 WL 4790575, at *6 (N.D. Cal. Sept. 21, 2018) (cross-check does not
21 require “mathematical precision [or] bean-counting”).

22 In the Ninth Circuit, a multiplier ranging from 1.0 to 4.0 is considered
23 “presumptively acceptable.” *Dyer v. Wells Fargo Bank, N.A.*, 303 F.R.D. 326, 334
24 (N.D. Cal. 2014); *Vizcaino*, 290 F.3d at 1051 n.6 (finding most multipliers range
25 from 1.0–4.0). In cases that result in larger settlement funds, courts tend to accept
26 an even higher range of multipliers. *Urethane*, 2016 WL 4060156, at *7; *In re Nat’l*
27 *Collegiate Athletic Ass’n Athletic Grant-in-Aid Cap Antitrust Litig.*, 768 F. App’x
28 651, 653 (9th Cir. 2019) (approving 3.66 multiplier in \$200 million settlement).

1 Here, the lodestar cross-check reveals that the requested fee is eminently
2 reasonable: the resulting multiplier is on the low end of the acceptable range, and is
3 especially low when compared to other large and successful settlements. First, as
4 detailed in the accompanying Nelson Declaration, Class Counsel devoted a
5 substantial number of hours to this seven-year, complex class action case that
6 settled on the eve of trial. Nelson Decl., ¶¶ 4, 30, Ex. 1. Class Counsel were careful
7 and thorough, but also tried to coordinate their efforts to gain efficiencies. *Id.* at ¶¶
8 23, 26. The considerable efforts were important to manage this large litigation: over
9 a million pages of discovery, 100 depositions, 27 experts who served 52 reports,
10 and the seemingly endless dispositive or case-altering motions related to expert
11 opinions, class certification, summary judgment, and the trial plan. Indeed, given
12 how heavily litigated the case was, and that it settled shortly before trial, the
13 number of hours expended compares well to other large cases, and is evidence of
14 Class Counsel’s efforts at coordination. *Cf. In re Apple*, 2021 WL 1022866, at *4-5,
15 *8 (approximately 70,000 hours were “reasonable and necessary” in three-year
16 litigation that settled before summary judgment); *TFT-LCD*, 2011 WL 7575003, at
17 *1 (250,000 hours of work in complex antitrust class action).

18 Second, Class Counsel’s rates are consistent with market rates in their area.
19 Nelson Decl., ¶ 27; Farris Decl., ¶¶ 12-13, ; Noël Decl., ¶¶ 10-11; Audet Decl.,
20 ¶¶ 12-13; *see also Dickey v. Advanced Micro Devices, Inc.*, 2020 WL 870928, at *8
21 (N.D. Cal. Feb. 21, 2020) (approving rates between \$275 and \$1,000 for attorneys);
22 *Lidoderm*, 2018 WL 4620695, at *2 (approving rates between \$300 and \$1,050).
23 Other courts have recently affirmed the rates of several of the Class Counsel firms.
24 Nelson Decl., ¶ 28; Farris Decl., ¶¶ 12-13; Audet Decl. ¶ 12. *See also* Noel Decl.
25 ¶¶ 12-13 (citing 2015 order approving rates). With some limited exceptions, Class
26 Counsel’s rates are largely in line with the *2021 Real Rate Report: The Industry’s*
27
28

1 *Leading Analysis of Law Firm Rates, Trends, and Practices* (“Real Rate Report”).¹⁰
2 The Real Rate Report provides Los Angeles¹¹ rates of \$412 to \$841 for litigation
3 associates, \$527 to \$1,145 for partners, and a median rate of \$255 for paralegals.
4 Real Rate Report at 10, 26, 32.¹² Similarly, Class Counsel’s rates align with Plains’
5 counsel in this matter, per a 2020 bankruptcy court petition shows its 2019 billing
6 rates for partners ranging from \$860 to \$1,421.¹³

7 The resulting lodestar of \$58,525,944 yields a modest multiplier of 1.26 for
8 work performed to date. That multiplier will only decrease as Class Counsel
9 continue to work on the approval and implementation of this proposed Settlement.
10 Nelson Decl., ¶ 32.¹⁴ Despite the quality of the result, and the substantial effort and
11 resources Class Counsel devoted to achieving that result, the lodestar multiplier is
12 on the very low end of the “presumptively acceptable range of 1.0-4.0” in this
13 Circuit. *Dyer*, 303 F.R.D. at 334; *see also Vizcaino*, 290 F.3d at 1051 n.6
14 (approving 3.65 multiplier); *Flo & Eddie*, 2017 WL 4685536, at *9 (approving
15 multiplier of up to 2.5); *Calhoun v. Celadon Trucking Servs.*, 2017 WL 11631979,
16 at *8 (C.D. Cal. Nov. 13, 2017) (multiplier of 1.3 is “lower than the accepted
17 range”).

18 _____
19 ¹⁰ See Noël Decl., ¶ 10, Ex. 3.

20 ¹¹ The relevant community is that in which the Court sits. *See Schwarz v. Sec’y of*
Health & Human Servs., 73 F.3d 895, 906 (9th Cir. 1995).

21 ¹² While the Real Rate Report does not provide data for professional litigation
22 support staff, courts in this district and others have approved rates ranging from
23 \$146 to \$275. *See Rolex Watch USA Inc. v. Zeotec Diamonds Inc.*, 2021 WL
4786889, at *4 (C.D. Cal. Aug. 24, 2021).

24 ¹³ See Final Fee Application of Munger, Tolles & Olson LLP for Compensation for
25 Services and Reimbursement of Expenses as Attorneys to the Debtors and Debtors
26 in Possession for Certain Matters from January 29, 2019 through July 1, 2020, *In re*
PG&E Corporation, No. 19-30088, Dkt. Nos. 8943, 8943-4 (N.D. Bankr. Cal. Aug.
31, 2020).

27 ¹⁴ Also, were Class Counsel to include in their application their time spent on behalf
28 of the Classes in the criminal restitution proceedings – which as discussed in the
Nelson Declaration inured to the benefit of the federal claims – the multiplier would
be even smaller. Nelson Decl., ¶ 33.

1 Moreover, multipliers for large settlements like this one tend to fall on the
2 *high* end of this range. Fitzpatrick Decl., ¶ 35. The Eisenberg-Miller 2017 study, for
3 example, found that the average multiplier of 2.72 in cases between 2009-2013
4 valued at over \$67.5 million. Theodore Eisenberg, Geoffrey Miller & Roy
5 Germano, *Attorney’s Fees in Class Actions: 2009-2013*, 92 N.Y.U. L. Rev. 937,
6 967 (2017). *See also In re Apple*, 2021 WL 1022866, at *8 (N.D. Cal. Mar. 17,
7 2021) (awarding \$80,600,000, for a 2.232 multiplier).

8 Class Counsel’s requested multiplier of 1.26 (at maximum) is therefore on
9 the very low end of the acceptable range, and significantly below the average
10 multiplier awarded in comparably valued cases. This factor strongly supports Class
11 Counsel’s requested 32% fee, and demonstrates that such a fee will not result in a
12 “windfall” to Counsel.

13 **B. Class Counsel’s expenses are reasonable and appropriate.**

14 Class Counsel may “recover their reasonable expenses that would typically
15 be billed to paying clients in non-contingency matters.” *Brown v. CVS Pharmacy,*
16 *Inc.*, 2017 WL 3494297, at *9 (C.D. Cal. Apr. 24, 2017) (citation omitted); *see also*
17 *Staton*, 327 F.3d at 974; Fed. R. Civ. P. 23(h). This includes expenses that are
18 reasonable, necessary, and directly related to the litigation. *See Willner v.*
19 *Manpower Inc.*, 2015 WL 3863625, at *7 (N.D. Cal. June 22, 2015).

20 Here, the Class Counsel firm established a joint cost fund to manage the bulk
21 of the hard costs incurred, such as for depositions, transcripts, expert fees, and
22 mediation expenses. Farris Decl., ¶ 19. Combined with each firm’s held costs, the
23 total costs for which Class Counsel seek reimbursement is \$6,085,336. Nelson
24 Decl., ¶ 32. These costs benefited the Class and are commensurate with the stakes,
25 complexity, novelty, and intensity of this particular litigation. As indicated in the
26 accompanying declarations, Class Counsel expended costs on the typical categories,
27 *e.g.*, experts, depositions, document management systems, mediation fees, and
28 necessary travel, in addition to soft costs attributable to the litigation. Nelson Decl.,

1 ¶ 31, Ex. 2; Farris Decl., ¶ 18, Ex. 3, Ex. 4; Noël Decl., ¶ 16, Ex. 4; Audet Decl., ¶
2 15, Ex. C. While this lengthy and highly technical case was expensive to prosecute,
3 “Class Counsel had a strong incentive to keep expenses at a reasonable level due to
4 the high risk of no recovery when the fee is contingent.” *Beesley v. Int’l Paper Co.*,
5 2014 WL 375432, at *3 (S.D. Ill. Jan. 31, 2014).

6 Especially given the risk and duration of the litigation, Class Counsel
7 expended only that which they believed was necessary to advance the interests of
8 the Classes. The requested costs are reasonable and should be reimbursed.

9 **C. The requested Class Representative service awards are reasonable**
10 **and well-deserved.**

11 In addition to any settlement distributions they receive, the Court-appointed
12 Class Representatives request service awards of \$15,000 to compensate them for
13 the time and effort they spent pursuing this matter on behalf of their respective
14 Class. Courts have discretion to approve service awards based on the amount of
15 time and effort spent, the duration of the litigation, and the personal benefit (or lack
16 thereof) as a result of the litigation. *See, e.g., Van Vracken v. Atl. Richfield Co.*, 901
17 F. Supp. 294, 299 (N.D. Cal. 1995). Each of these Class Representatives searched
18 for and provided facts used to compile the Second Amended Complaint, helped
19 Class Counsel analyze claims, sat for deposition, followed the case throughout its
20 seven-year trajectory, and reviewed and approved the proposed Settlement. They
21 each have submitted declarations further explaining the time and effort they
22 expended to benefit the class. Nelson Decl., Exs. 3-16.

23 Service awards of this size or even larger “are fairly typical in class action
24 cases,” and should be approved here. *See, e.g., Rodriguez v. W. Publ’g Corp.*, 563
25 F.3d 948, 958 (9th Cir. 2009); *see also Wells Fargo*, 445 F. Supp. 3d at 534
26 (granting \$25,000 service awards to each institutional investor plaintiff); *In re Nat’l*
27 *Collegiate Athletic Ass’n Athletic Grant-in-Aid Cap Antitrust Litig.*, 2017 WL
28 6040065, at *11 (N.D. Cal. Dec. 6, 2017), *aff’d*, 768 F. App’x 651 (9th Cir. 2019)

1 (awarding each of the four class representatives \$20,000 service awards); *Garner v.*
2 *State Farm Mut. Auto. Ins. Co.*, 2010 WL 1687832, at *17 n.8 (N.D. Cal. Apr. 22,
3 2010) (collecting Ninth Circuit cases with service awards of \$20,000 or higher).
4 Moreover, a \$15,000 service award to each of the fourteen Class Representatives
5 amounts to a total payment \$210,000, or less than 0.1 percent of the gross
6 Settlement amount. This is well within the range the Ninth Circuit has found
7 reasonable. *Staton*, 327 F.3d at 976-77.

8 **IV. CONCLUSION**

9 Class Counsel have dedicated their considerable time, skills, and resources to
10 achieve an exceptional result in this complex, novel, and lengthy class action. Class
11 Counsel respectfully submit that the Court approve their requested fee award of
12 \$73.6 million, representing 32% of the Funds and a modest 1.26 lodestar multiplier.
13 Further, Class Counsel respectfully request that the Court approve reimbursement
14 of \$6,085,336 in expenses, which were reasonably incurred in the prosecution of
15 this case, and service awards of \$15,000 to each Class Representative.

16 Dated: July 29, 2022

Respectfully submitted,

17
18 By: /s/ Robert J. Nelson

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UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

KEITH ANDREWS, an individual,
TIFFANI ANDREWS, an individual.
BACIU FAMILY LLC, a California
limited liability company, ROBERT
BOYDSTON, an individual, MORGAN
CASTAGNOLA, an individual, THE
EAGLE FLEET, LLC, a California
limited liability company, ZACHARY
FRAZIER, an individual, MIKE
GANDALL, an individual,
ALEXANDRA B. GEREMIA, as
Trustee for the Alexandra Geremia
Family Trust dated 8/5/1998, JIM
GUELKER, an individual, JACQUES
HABRA, an individual, MARK
KIRKHART, an individual, MARY
KIRKHART, an individual, RICHARD
LILYGREN, an individual, HWA
HONG MUH, an individual, OCEAN
ANGEL IV, LLC, a California limited
liability company, PACIFIC RIM
FISHERIES, INC, a California
corporation, SARAH RATHBONE, an
individual, COMMUNITY SEAFOOD
LLC, a California limited liability
company, SANTA BARBARA UNI,
INC., a California corporation,
SOUTHERN CAL SEAFOOD, INC., a
California corporation, TRACTIDE
MARINE CORP., a California
corporation, WEI INTERNATIONAL
TRADING INC., a California
corporation and STEPHEN WILSON,
an individual, individually and on
behalf of others similarly situated,,

Case No. 2:15-cv-04113-PSG-JEM

[Consolidated with Case Nos. 2:15-cv-04573-PSG (JEMx), 2:15-cv-04759-PSG (JEMx), 2:15-cv-04989-PSG (JEMx), 2:15-cv-05118-PSG (JEMx), 2:15-cv-07051-PSG (JEMx)]

**[PROPOSED] ORDER GRANTING
ATTORNEYS’ FEES, EXPENSES,
AND SERVICE AWARDS UNDER
RULE 23(H)**

Judge: Hon. Philip S. Gutierrez
Courtroom: 6A

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Plaintiffs,

vs.

PLAINS ALL AMERICAN PIPELINE,
L.P., a Delaware limited partnership,
and PLAINS PIPELINE, L.P., a Texas
limited partnership, and JOHN DOES 1
through 10,

Defendants.

1 Before the Court is a motion for attorneys’ fees, expenses, and class
2 representative service awards. The Court conducted a fairness hearing on September
3 16, 2022. Having considered the moving papers and the information provided at the
4 hearing, the Court GRANTS the motion for attorneys’ fees, costs, and Class
5 Representative service awards.

6 **I. BACKGROUND**

7 This litigation arises from an oil spill that occurred at Refugio State Beach in
8 Santa Barbara County on May 19, 2015.

9 After this Court consolidated separately filed class actions into this lead case,
10 Plaintiffs filed a consolidated second amended class action complaint on April 6,
11 2016. Dkt. 88. Plaintiffs alleged various violations of California Law for: (1) strict
12 liability under the Lempert-Keene-Seastrand Oil Spill Prevention and Response Act
13 (California Code Section 8670, *et seq.*); (2) ultrahazardous activities under the
14 common law; (3) common law claims for negligence, public nuisance, negligent
15 interference with prospective economic advantage, trespass, continuing private
16 nuisance, and a permanent injunction; and (4) violation of California’s Unfair
17 Competition Law (Cal. Bus. & Prof. Code §§ 17200, *et seq.*). *See id.* ¶¶ 261-359.

18 The Parties then conducted extensive discovery, which included exchanging
19 more than 360,000 documents totaling over 1.5 million pages, disclosing 17 experts
20 who produced 52 reports, taking over 100 depositions (including depositions of the
21 fourteen Class Representatives), filing and responding to over a dozen motions to
22 strike. Declaration of Robert J. Nelson in Support of Motion for Final Approval,
23 Attorneys’ Fees, Costs, and Service Awards (“Nelson Decl.”) ¶¶ 3-9.

24 On August 22, 2016, Plaintiffs moved to certify a Class of fishers and fish
25 processors impacted by Plains’ spill, supported by reports from five experts. Dkt.
26 123. Defendants submitted nine expert reports in support of its opposition. After
27 extensive briefing and oral argument, on February 28, 2017, this Court certified a
28

1 Fisher and Fish Industry Class based on initial estimates of where the oil traveled
2 and which fishing blocks were impacted. Dkt. 257.

3 Following two years of additional fact and expert discovery, on August 31,
4 2019, Plaintiffs filed a motion to amend the Fisher Class definition. Dkt. 531.
5 Defendants opposed certification, serving amended reports from two of its own
6 experts. Dkt. 545. Following significant briefing, the Court granted Plaintiffs’
7 motion and certified the Fisher Class as amended. Dkt. 577.

8 Following that order, Defendants petitioned the Ninth Circuit Court of
9 Appeals to review the certification decision pursuant to Fed. R. Civ. P. 23(f).
10 Plaintiffs opposed, and the Ninth Circuit denied the petition. *See Andrews et. al., v.*
11 *Plains All American Pipeline, et. al*, Case No. 19-80167, Dkt. 3 (July 27, 2020).
12 Defendants unsuccessfully moved to decertify the Fisher Class three times. See
13 Dkts. 566, 647, 872.

14 On March 5, 2018, Plaintiffs moved to certify a Property Class. Dkt. 428-1.
15 Defendants opposed, submitting reports from three of its own experts in support of
16 its opposition, and moved to strike Plaintiffs’ two expert reports. Dkts. 430, 440. On
17 April 17, 2018, this Court granted Plaintiffs’ motion for certification of the Property
18 Class and denied Plains’ motions to strike. Dkt. 454.

19 Defendants petitioned the Ninth Circuit Court of Appeals pursuant to Fed. R.
20 Civ. P. 23(f), Plaintiffs opposed, and the Ninth Circuit denied the petition. *See*
21 *Andrews et. al., v. Plains All American Pipeline, et. al*, Case No. 18-80054, Dkt. 4
22 (June 27, 2018). Like the Fisher Class, the Property Class was subject to three
23 decertification motions. Dkts. 555, 663, 874.

24 Defendants filed multiple summary judgment motions. As to the Fisher Class,
25 Plains moved for summary judgment in 2019. Dkt. 646. After extensive briefing,
26 with thousands of pages of documents in support of and in opposition to the motion,
27 and lengthy oral argument, the Court granted summary judgment against a subset of
28

1 the Fisher Class, the fish processors, as to certain claims and denied the rest. Dkt.
2 714.

3 As to the Property Class, Defendants moved for summary judgment on
4 October 21, 2019. Dkt. 554. After Plaintiffs opposed and Defendants replied, the
5 Court ordered supplemental briefing, which both Parties submitted. Dkts. 635, 636.
6 After additional oral argument, the Court issued an order on March 17, 2020,
7 granting summary judgment as to certain claims for certain groups within the
8 Property Class and denying the rest. Dkt. 720.

9 This case was originally set to go to trial in September of 2020. The Parties
10 had prepared the case for trial, exchanging witness lists, a joint exhibit list with
11 4,705 entries, jury instructions, deposition designations, and contentions of law and
12 fact. The Parties also fully briefed 16 motions in limine and submitted multiple
13 briefs regarding the trial plan.

14 The trial was postponed because of the COVID pandemic and was then re-set
15 for June 2, 2022. This Court has since ruled on all 16 motions in limine and
16 numerous other motions, including motions to amend witness and exhibit lists,
17 motions to submit additional supplemental expert reports, and motions to strike
18 other expert reports. *See, e.g.*, Dkts. 891-900 (orders on motions in limine), Dkts.
19 857, 867 (order on amending witness list and exhibits for trial). The Court also
20 adopted Plaintiffs' proposed trial plan over Defendants' opposition. Dkt. 911.

21 The parties and their counsel participated in three formal full-day mediations
22 over the course of three years with Judge Daniel Weinstein (Ret.) and Robert Meyer
23 of JAMS, in addition to informal negotiations and numerous telephone conferences
24 over this same time. The first mediation was held in the fall of 2019. The second
25 mediation was held in the fall of 2020. The third full-day mediation took place on
26 March 22, 2022, after which the Parties still had not reached agreement. On April
27 13, 2022, the mediators submitted a mediator's proposal that both Parties ultimately
28 accepted. After reaching an agreement in principle, the Parties drafted the

1 Settlement Agreement, notices, other settlement exhibits, and selected the proposed
2 Settlement Administrator. Nelson Decl. ¶ 10; Dkt. 944-1, Exhibit 1 (“Settlement”).

3 Under the proposed Settlement, Defendants will pay \$184 million to the
4 Fisher Class and \$46 million to the Property Class. No portion of the combined
5 \$230 million will revert to Defendants. Plaintiffs sought preliminary approval of the
6 Settlement, Dkt. 944, which the Court granted, Dkt. 949. Specifically, the Court (1)
7 preliminarily approved the Settlement Agreement, (2) appointed JND Legal
8 Administration LLC (“JND”) as the Settlement Administrator, and (3) approved the
9 proposed plan to give Class Notice. *Id.* at 1-4.

10 Plaintiffs now move for an order approving the requested attorneys’ fees,
11 expenses, and service awards.

12 **II. ATTORNEYS’ FEES, EXPENSES, AND SERVICE AWARDS**

13 Plaintiffs move for (1) \$73.6 million in attorneys’ fees, representing 32% of
14 the Settlement Funds, (2) reimbursement of \$6,085,336 in litigation costs incurred
15 by Class Counsel, and (3) service awards of \$15,000 to each Class Representative.
16 *See* Plaintiffs’ Notice of Motion and Motion for Attorneys’ Fees, Expenses, and
17 Service Awards Under Rule 23(H) (“Fees Mot.”) at 2. The Court addresses each
18 request in turn.

19 **A. Attorneys’ Fees**

20 **1. Legal Standard**

21 Awards of attorneys’ fees in class action cases are governed by Federal Rule
22 of Civil Procedure 23(h), which provides that, after a class has been certified, the
23 court may award reasonable attorneys’ fees and nontaxable costs. The court “must
24 carefully assess” the reasonableness of the fee award. *Staton v. Boeing Co.*, 327 F.3d
25 938, 963 (9th Cir. 2003).

26 Where litigation leads to the creation of a common fund, courts can determine
27 the reasonableness of a request for attorneys’ fees using either the common fund
28 method or the lodestar method. *See In re Bluetooth Headset Prods. Liab. Litig.*, 654

1 F.3d 935, 944-45. The Court will analyze Class Counsel’s fee request under both
2 theories, starting with the percentage-of-the-common-fund theory, and then
3 conducting a lodestar-cross-check.

4 **2. Discussion**

5 Under the percentage-of-recovery method, courts typically use 25% of the
6 fund as a benchmark for a reasonable fee award. *See In re Bluetooth Headset*, 654
7 F.3d at 942. However, in larger settlements, that 25% benchmark may “be of little
8 assistance,” *In re Optical Disk Drive Prod. Antitrust Litig.*, 959 F.3d 922, 931 (9th
9 Cir. 2020), if it would result in an award “either too small or too large in light of the
10 hours devoted to the case or other relevant factors.” *Six (6) Mexican Workers v.*
11 *Ariz. Citrus Growers*, 904 F.2d 1301, 1311 (9th Cir. 1990).

12 Here, Class Counsel requests that the court approve a fee award of \$73.6
13 million, or 32% of the gross Settlement amount. Fees Mot. 2. The Court will
14 evaluate this request in light of the factors set out in *Vizcaino*, and will cross-check
15 the reasonableness of the award using the lodestar method.

16 **a. Percentage-of-the-Common-Fund Method**

17 The selection of a percentage must “take into account all of the circumstances
18 of the case.” *Vizcaino v. Microsoft Corp.*, 290 F.3d 1043, 1048 (9th Cir. 2002).
19 When assessing the reasonableness of a fee award under the common fund theory,
20 courts consider factors such as (1) the results achieved, (2) the risk of litigation, (3)
21 the complexity of the case and skill required, (4) the benefits beyond the immediate
22 generation of a cash fund, and (5) awards made in similar cases. *In re Omnivision*
23 *Techs., Inc.*, 559 F. Supp. 2d 1036, 1046 (N.D. Cal. 2008); *Vizcaino*, 290 F.3d at
24 1048-50).

25 **1. Results Achieved**

26 “The overall result and benefit to the class from the litigation is the most
27 critical factor in granting a fee award.” *In re Omnivision Techs., Inc.*, 559 F. Supp.
28 2d at 1046. Here, Class Counsel secured large shares of the Classes’ maximum

1 potential compensatory damages (*i.e.*, assuming a complete victory at trial and
2 appeal). The \$46 million Property Class Settlement represents over half of the
3 maximum classwide compensatory damages. The \$184 million Fisher Class
4 settlement is over 90% of the claimed damages through 2017, and 36% of damages
5 through 2020.¹ Dkt. 929-2, Ex. B at 9, ¶ 19.² This provides meaningful and
6 immediate monetary relief to members of both Classes. *See In re Heritage Bond*
7 *Litig.*, 2005 WL 1594389 (C.D. Cal. June 10, 2005) (awarding 33.33% in fees to
8 counsel that recovered 36% of the class’s total net loss); *Carlin v. DairyAmerica,*
9 *Inc.*, 380 F. Supp. 3d 998, 1021, 1023 (E.D. Cal. 2019) (awarding 33.3% of a \$40
10 million common fund that represented 48% of damages); *cf. In re Initial Pub.*
11 *Offering Sec. Litig.*, 671 F. Supp. 2d 467 (S.D.N.Y. 2009) (awarding 33.33% of
12 \$510.3 million when class members were estimated to recover only about 2% of
13 their damages).

14 This recovery was obtained in the face of complex and hotly disputed issues
15 that were central to Plaintiffs’ case, such as Defendants’ negligence, the amount of
16 oil spilled, where the oil went, the proper measure of damages for both Classes, and
17 the propriety of class certification. *See Vizcaino v. Microsoft Corp.*, 290 F.3d 1043,
18 1048 (9th Cir. 2002) (affirming the district court’s finding that counsel “achieved
19 exceptional results for the class” despite “the absence of supporting precedents,” in
20 the face of difficult facts, and “against [Defendant]’s vigorous opposition
21 throughout the litigation”). A loss on any of these issues at trial in this Court or on
22 appeal might have precluded a Class recovery altogether. Alternatively, the Classes
23

24 _____
25 ¹ In April 2022, just before reaching the Settlement, the damages period was
26 extended to 2020 when the Court denied Plains’ motion to strike Dr. Rupert’s
supplemental report regarding damages from 2018-2020. Dkt. 929 at 5-6; Dkt. 937.

27 ² Even with fees deducted, the Property Class recovers 35% of its damages, and the
28 Fisher Class recovers 65% of damages through 2017, or 25% through 2020.

1 may well have won on liability, only to have the jury award fewer damages than
2 requested. Based on Defendants’ most charitable estimate of Fisher Class damages,
3 the proposed Settlement is two-and-a-half times the Fisher Class’s damages through
4 2017. *See* Dkt. 872-11 at 9-10 (Defendants’ expert opining that the *maximum*
5 *possible damages* for the Fisher Class is \$71.3 million).

6 Accordingly, the Court finds that the result obtained for the Class supports the
7 reasonableness of the requested award.

8 2. Risk of Litigation

9 “The risks assumed by Class Counsel, particularly the risk of non-payment or
10 reimbursement of expenses, is a factor in determining counsel’s proper fee award.”
11 *In re Heritage Bond Litig.*, 2005 WL 1594389, at *14 (C.D. Cal. June 10, 2005); *In*
12 *re Apollo Grp. Inc. Sec. Litig.*, 2012 WL 1378677, at *7 (D. Ariz. Apr. 20, 2012)
13 (“An upward departure from the 25% benchmark figure is warranted in this case
14 because an exceptional result was achieved and it was extremely risky for Class
15 Counsel to pursue this case through seven years of litigation.”). Class Counsel took
16 this case on a purely contingent basis with no guarantee of recovery. Nelson Decl.
17 ¶¶ 9, 24.

18 The Court agrees that the risk taken on by Class Counsel was magnified by
19 the length and novelty of this litigation. Fees Mot. at 11; Final Approval Mot. at
20 section V.A.3. Of the four classes initially pled, Plaintiffs were unsuccessful in
21 certifying one of them (the tourism class), and had the certification of another (the
22 oil industry class) reversed on appeal. *Andrews et. al. v. Plains All American*
23 *Pipeline, et. al.*, Case No. 18-55850, Dkt. 77-1 (July 3, 2019) (decertifying the Oil
24 Industry subclass). Contrary to some large class actions that settle before or
25 immediately after class certification is granted, as explained above, this case was
26 litigated to the point of trial. Even after the Classes were certified, Defendants
27 continued to challenge the propriety of both Classes until January 2022, when the
28 Court approved the trial plan (Dkt. 911).

1 Given the substantial risks borne by Class Counsel for seven years in
2 pursuing this class action, this factor weighs in favor of Class Counsel’s requested
3 32% fee.

4 **3. Complexity of the Case and Skill Required**

5 The Court also considers the skill required to prosecute and manage this
6 litigation, as well as Class Counsel’s overall performance. *See In re Omnivision*
7 *Techs.*, 559 F. Supp. 2d at 1047. During the past seven years, the Court witnessed
8 that the complexities of the legal and factual issues in this case required a great
9 amount of skill and experience to prosecute.

10 As discussed previously, Class Counsel’s litigation effort was notable.
11 Among other things, Class Counsel conducted extensive and technical fact and
12 expert discovery, filed three class certification motions as well as four oppositions to
13 class decertification, three oppositions to Fed. R. Civ. P. 23(f) petitions, multiple
14 oppositions to motions for summary judgment, completed preparations for trial,
15 and participated in three formal daylong mediations. *See* Final Approval Mot. at 2-8.
16 Counting both fact and expert discovery, the Parties produced over 1.5 million pages
17 of documents and took over 100 depositions. Nelson Decl., ¶ 11, 18; *see In re*
18 *Heritage Bond Litig.*, 2005 WL 1594403, at *7 (C.D. Cal. June 10, 2005) (one-third
19 fee where counsel had “reviewed approximately 1.1 million pages of documents
20 produced by various defendants and [had] taken thirty-four depositions.”).

21 The litigation was complex from a legal standpoint as well. Class Counsel
22 drew from their skills and experience to certify the Classes despite the scarcity of
23 precedent for the Classes. Nelson Decl., ¶ 9.

24 Finally, Class Counsel successfully handled this protracted litigation against a
25 company with significant financial and legal resources, and represented by a
26 prominent litigation firm. *See In re Am. Apparel, Inc. S’holder Litig.*, 2014 WL
27 10212865, at *22 (C.D. Cal. July 28, 2014) (“In addition to the difficulty of the legal
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1 and factual issues raised, the court should also consider the quality of opposing
2 counsel as a measure of the skill required to litigate the case successfully.”)

3 The Court agrees that the skill displayed by Class Counsel in prosecuting this
4 case and obtaining a favorable settlement supports their requested award.

5 **4. Benefits Beyond the Immediate Generation of a**
6 **Cash Fund**

7 “Incidental or non-monetary benefits conferred by the litigation are a relevant
8 circumstance.” *Vizcaino v. Microsoft Corp.*, 290 F.3d 1043, 1049 (9th Cir. 2002).
9 While the Settlement is only one of immediate monetary value for the Class, the
10 Court agrees that this litigation delivered a public benefit by raising the cost of
11 causing environmental harm in California and putting similar corporations on
12 notice. Fees Mot. at 12. *See, e.g., Vizcaino*, 290 F.3d at 1049 (“the litigation also
13 benefitted employers and workers nationwide by clarifying the law of temporary
14 worker classification” so that “many workers who otherwise would have been
15 classified as contingent workers received the benefits of full time employment”);
16 *Bebchick v. Washington Metro. Area Transit Comm’n*, 805 F.2d 396, 408 (D.C. Cir.
17 1986) (placing significant weight on the public benefit afforded by counsel’s
18 litigation in persuading the court that defendant had set transit fares unreasonably
19 high).

20 As such, the Court finds that the public benefit achieved by this litigation
21 supports the reasonableness of the requested fee.

22 **5. Awards Made in Similar Cases**

23 A court should also consider fee awards from similar cases. *Vizcaino*, 290
24 F.3d at 1049-50. This Court has recognized that a requested percentage that “falls
25 within the 30 to 33 percent range allowed in common fund cases” generally favors
26 the award. *Flo & Eddie*, 2017 WL 4685536, at *7 (citing numerous cases granting
27 fee awards above the 25 percent benchmark); *see also In re Lidoderm Antitrust*
28

1 *Litig.*, 2018 WL 4620695, at *4 (N.D. Cal. Sept. 20, 2018) (“[A] fee award of one-
2 third is within the range of awards in this Circuit.”).

3 In line with the Ninth Circuit’s instruction that the “[s]election of the
4 benchmark or any other rate must be supported by findings that take into account all
5 of the circumstances of the case,” *Vizcaino*, 290 F.3d at 1048, the Court also
6 compares the requested award to those from cases that are similar to this one not
7 only in size, but also in complexity, duration, and the amount of work that class
8 counsel dedicated to the litigation. *See In re Heritage Bond Litig.*, 2005 WL
9 1594403, at *9 (C.D. Cal. June 10, 2005). The Court also notes that the Ninth
10 Circuit has been careful not to adopt a sliding-scale rule regarding the size of a
11 settlement fund in relation to the percentage of attorneys’ fees that may be awarded.
12 *In re Optical Disk Drive Prod. Antitrust Litig.*, 959 F.3d 922, 933 (9th Cir. 2020).
13 *See also* Fitzpatrick Decl., ¶ 22.

14 The Court finds that the requested award of attorneys’ fees of 32% of the
15 gross Settlement amount is comparable to awards authorized in similar cases. *See In*
16 *re Apollo Grp. Inc. Sec. Litig.*, 2012 WL 1378677, at *3, *7 (D. Ariz. Apr. 20, 2012)
17 (finding 33.33% fee award reasonable in a \$145 million settlement following seven
18 years of litigation “pursued the litigation despite great risk”; fee equated to a 1.74
19 multiplier); *In re TFT-LCD (Flat Panel) Antitrust Litig.*, No. MDL 3:07-MD-1827
20 SI, 2011 WL 7575003, at *1 (N.D. Cal. Dec. 27, 2011) (30% of \$405 million
21 settlement after six years of litigation “involving complex and difficult issues of fact
22 and law”); *Greenville v. Syngenta Crop Prot., Inc.*, 904 F. Supp. 2d 902, 904, 907
23 (S.D. Ill. 2012) (33.33% of \$105 million, equivalent to a 1.34 lodestar multiplier, in
24 a seven-year long pollution case); *In re Linerboard Antitrust Litig.*, No. CIV.A. 98-
25 5055, 2004 WL 1221350 (E.D. Pa. June 2, 2004), *amended*, No. CIV.A.98-5055,
26 2004 WL 1240775 (E.D. Pa. June 4, 2004) (30% of \$202.5 million settlement, a
27 2.66 multiplier, following six years of risky litigation). As discussed above, the
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1 duration and complexity of this case was on par with these cases. Further, as
2 discussed below, the requested 32% award will result in a relatively low multiplier.

3 Accordingly, awards in similar cases support the requested fee.

4 **b. Lodestar Cross-Check**

5 The lodestar method is a way for the Court to cross-check the reasonableness
6 of a fee award. To calculate the “lodestar,” the court must multiply the number of
7 hours the attorneys reasonably spent on the litigation by the reasonable hourly rate
8 in the community for similar work. *McElwaine v. U.S. West, Inc.*, 176 F.3d 1167,
9 1173 (9th Cir. 1999); *see In re Toys R Us-Delaware, Inc.--Fair & Accurate Credit*
10 *Transactions Act (FACTA) Litig.*, 295 F.R.D. 438, 460 (C.D. Cal. 2014) (courts use
11 a “rough calculation of the lodestar as a cross-check to assess the reasonableness of
12 the percentage award.”). The Court will then analyze the resulting lodestar
13 multiplier to ensure that it does not present a windfall to Class Counsel. In cases that
14 result in larger settlement funds, courts tend to accept an even higher range of
15 multipliers. *In re: Urethane Antitrust Litig.*, 2016 WL 4060156, at *7 (D. Kan. July
16 29, 2016); *In re Nat’l Collegiate Athletic Ass’n Athletic Grant-in-Aid Antitrust*
17 *Litig.*, 768 F. App’x 651, 653 (9th Cir. 2019) (approving 3.66 multiplier in \$200
18 million settlement); *See Vizcaino*, 290 F.3d at 1051 n. 6 (approving multiplier of
19 3.65 in \$96,885,000 settlement).

20 **1. Reasonable Rate**

21 When calculating the lodestar, the reasonable hourly rate is the rate prevailing
22 in the community for similar work. *See Gonzalez v. City of Maywood*, 729 F.3d
23 1196, 1200 (9th Cir. 2013) (“[T]he court must compute the fee award using an
24 hourly rate that is based on the prevailing market rates in the relevant community.”
25 (internal quotations omitted)); *Viveros v. Donahue*, No. CV 10-08593 MMM (Ex),
26 2013 WL 1224848, at *2 (C.D. Cal. Mar. 27, 2013) (“The court determines a
27 reasonable hourly rate by looking to the prevailing market rate in the community for
28 comparable services.”). The relevant community is the community in which the

1 court sits. *See Schwarz v. Sec’y of Health & Human Servs.*, 73 F.3d 895, 906 (9th
2 Cir. 1995). If an applicant fails to meet its burden, the court may exercise its
3 discretion to determine reasonable hourly rates based on its experience and
4 knowledge of prevailing rates in the community. *See, e.g., Viveros*, 2013 WL
5 1224848, at *2; *Ashendorf & Assocs. v. SMI-Hyundai Corp.*, No. CV 11-02398
6 ODW (PLAx), 2011 WL 3021533, at *3 (C.D. Cal. July 21, 2011); *Bademyan v.*
7 *Receivable Mgmt. Servs. Corp.*, No. CV 08-00519 MMM (RZx), 2009 WL 605789,
8 at *5 (C.D. Cal. Mar. 9, 2009).

9 Here, Plaintiffs are represented by counsel at four law firms: Loeff Cabraser
10 Heimann & Bernstein (“LCHB”), LLP; Keller Rohrback, L.L.P. (“KR”); Cappello
11 Noël LLP (“CN”); and Audet & Partners, LLP (“Audet”). First, LCHB is a large
12 plaintiffs’ law firm with its primary offices located in San Francisco, California,
13 from which this matter has largely been handled. Nelson Decl., ¶ 27. LCHB
14 attorneys who worked on this case had hourly rates ranging from \$395 to \$1,150.
15 Nelson Decl., Ex. 1. Second, KR is a similarly sized law firm with two of its offices
16 in Seattle, Washington and Santa Barbara, California, from which this matter has
17 largely been handled. Farris Decl., ¶ 11. KR attorneys who worked on this case had
18 hourly rates ranging from \$300 to \$1,200. Farris Decl., Ex. 3. Third, CN is a small
19 law firm with its office located in Santa Barbara, California. Noël Decl., ¶ 5. CN
20 attorneys who worked on this case had hourly rates ranging from \$175 to \$1,450.
21 Noël Decl., ¶ 10, Ex. 3. Finally, Audet is a small law firm with its office located in
22 San Francisco, California. *See Audet Decl., Ex. A.* Audet attorneys who worked on
23 this case had hourly rates ranging from \$200 to \$995. Audet Decl., Ex. A.

24 The Court turns to the *2021 Real Rate Report: The Industry’s Leading*
25 *Analysis of Law Firm Rates, Trends, and Practices* (“Real Rate Report”) as a useful
26 guidepost to assess the reasonableness of these hourly rates in the Central District.
27 *See Eksouzian v. Albanese*, No. CV 13-728 PSG (AJWx), 2015 WL 12765585, at
28 *4–5 (C.D. Cal. Oct. 23, 2015). The Real Rate Report identifies attorney rates by

1 location, experience, firm size, areas of expertise, and industry, as well as specific
2 practice areas, and is based on actual legal billing, matter information, and paid and
3 processed invoices from more than 80 companies. *See Hicks v. Toys ‘R’ Us-Del.,*
4 *Inc.*, No. CV 13-1302 DSF JCG, 2014 WL 4670896, at *1 (C.D. Cal. Sept. 2, 2014).
5 Courts have found that the Real Rate Report is “a much better reflection of true
6 market rates than self-reported rates in all practice areas.” *Id.*; *see also Tallman v.*
7 *CPS Sec. (USA), Inc.*, 23 F. Supp. 3d 1249, 1258 (D. Nev. 2014) (considering the
8 Real Rate Report); *G.B. ex rel. N.B. v. Tuxedo Union Free Sch. Dist.*, 894 F. Supp.
9 2d 415, 433 (S.D.N.Y. 2012) (same).

10 The Real Rate Report provides that, in Los Angeles, litigation partners have
11 hourly rates ranging from \$527 to \$1,145, and litigation associates have hourly rates
12 ranging from \$412 to \$841. Real Rate Report at 26, 32. Paralegals across the
13 country earn a median real rate of a median rate of \$255 per hour. *Id.* at 10. As Class
14 Counsel notes, the Real Rate Report does not provide data for professional litigation
15 support staff. However, courts in this district and others have approved rates ranging
16 from \$146 to \$275 for professional litigation support staff, depending on their
17 experience. *See Rolex Watch USA Inc. v. Zeotec Diamonds Inc.*, 2021 WL 4786889,
18 at *4 (C.D. Cal. Aug. 24, 2021).

19 Class Counsel charge partner billing rates ranging from \$510 to \$1,450 per
20 hour and association rates ranging from \$200 to \$875. Nelson Decl., Ex. 1; Farris
21 Decl., Ex. 3; Noël Decl., Ex. 3; Audet Decl., Ex. A. With a few exceptions, these
22 rates are in line with the Real Rate Report. In addition, courts have recently accepted
23 the billing rates of Class Counsel firms LCHB, KR, and Audet, and a court accepted
24 CN’s rates in 2015. Nelson Decl., ¶ 28; Farris Decl., ¶¶ 12-13; Audet Decl., ¶ 12;
25 Noël Decl., ¶¶ 10-11. The Court accepts Class Counsel’s billing rates as reasonable
26 for complex class action litigation attorneys in this community.

27 Class Counsel also charged hourly rates of \$110 to \$405 for paralegals and
28 law clerks, which is only somewhat above the nationwide median. Nelson Decl., Ex.

1 1; Farris Decl., Ex. 3; Noël Decl., Ex. 3; Audet Decl., Ex. A. Additionally, Class
2 Counsel also charged hourly rates of \$405 to \$510 for professional litigation support
3 staff. *Id.* These rates are generally in line with rates that other courts in this district
4 have approved. Accordingly, the Court approves Class Counsel’s rates for
5 paralegals, law clerks, and professional litigation support staff.

6 In sum, Court finds that Class Counsel’s rates fall within an acceptable range.

7 **2. Hours**

8 An attorneys’ fees award should include compensation for all hours
9 reasonably expended prosecuting the matter, but “hours that are excessive,
10 redundant, or otherwise unnecessary” should be excluded. *Costa v. Comm’r of Soc.*
11 *Sec. Admin.*, 690 F.3d 1132, 1135 (9th Cir. 2012). “[T]he standard is whether a
12 reasonable attorney would have believed the work to be reasonably expended in
13 pursuit of success at the point in time when the work was performed.” *Moore v. Jas.*
14 *H. Matthews & Co.*, 682 F.2d 830, 839 (9th Cir. 1982).

15 Here, the records demonstrate that Class Counsel collectively spent 85,245.6
16 hours litigating this case through July 22, 2022. *See* Nelson Decl., ¶ 32. As
17 discussed above, this case originated in 2015 and has been intensely litigated for
18 seven years. During that time, Class Counsel engaged in extensive discovery and
19 motion practice; reviewed hundreds of thousands of documents, many of which
20 were highly technical; addressed 52 reports from 27 experts; conducted or defended
21 over 100 depositions; brought multiple motions for class certification, opposed
22 motions for summary judgment against each Class, litigated 16 motions in limine,
23 prepared for trial, prepared the Settlement Agreement and related papers, and
24 worked with the Claims Administrator to implement the notice program.

25 After reviewing the declarations submitted by all four firms, and considering
26 duration, scope, and complexity of this case, the Court finds the 85,245.6 hours
27 expended reasonable. *Cf. In re Apple Inc. Device Performance Litig.*, 2021 WL
28 1022866 (N.D. Cal. Mar. 17, 2021), *4-5, *8 (approximately 70,000 hours were

1 “reasonable and necessary” in three-year litigation that settled before summary
2 judgment); *In re TFT-LCD (Flat Panel) Antitrust Litig.*, 2011 WL 7575003, at *1
3 (N.D. Cal. Dec. 27, 2011) (250,000 hours of work in complex antitrust class action).

4 **3. Multiplier**

5 The lodestar amount in this case is \$58,525,944. Nelson Decl., ¶ 32. Class
6 Counsel request 32 percent in attorneys’ fees from the total settlement amount \$230
7 million. Fee Mot. at 2. This yields a multiplier of 1.26.³

8 Considering, *inter alia*, the duration of the litigation, the contingent nature of
9 the representation, and Class Counsel’s due diligence in pursuing this case to an
10 exceptional recovery, the novelty and difficulty of the issues involved, the skill
11 required to prosecute Defendants, and awards in other similar cases described
12 above, the Court finds the multiplier of 1.26 more than justified and well within the
13 range regularly approved in this Circuit. *See Steiner v. Am. Broad. Co.*, 248 F.
14 App’x 780, 783 (9th Cir. 2007) (noting that a 6.85 lodestar multiplier fell well
15 within the range of multipliers that courts have allowed); *Vizcaino*, 290 F.3d at 1051
16 n.6 (approving 3.65 multiplier and noting the usual range is from 1.0-4.0). “Unlike
17 some megafund cases, this one did not result in a huge payout to the class after the
18 passage of little time or the expenditure of little effort.” *In re: Cathode Ray Tube*
19 *(CRT) Antitrust Litig.*, 2016 WL 4126533, at *6 (N.D. Cal. Aug. 3, 2016), *dismissed*
20 *sub nom. In re Cathode Ray Tube (CRT) Antitrust Litig.*, No. 16-16368, 2017 WL
21 3468376 (9th Cir. Mar. 2, 2017). Moreover, the Court anticipates that the multiplier
22 will be even further reduced by virtue of the additional fees that will accrue with
23 Class Counsel’s continued efforts to implement the Settlement.

24 Therefore, having assessed the reasonableness of the hourly rates, the hours
25 worked, and the multiplier, the Court finds that the requested fee amount is

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³ $(\$230,000,000 \times 32\%) / \$58,525,944 = 1.26.$

1 reasonable under both the percentage-of-the-common-fund and lodestar theories,
2 and **GRANTS** Plaintiffs’ motion for \$73.6 million in attorneys’ fees.

3 **B. Litigation Expenses**

4 In class action settlements, “[a]ttorneys may recover their reasonable
5 expenses that would typically be billed to paying clients in non-contingency
6 matters.” *See In re Omnivision Techs.*, 559 F. Supp. 2d at 1048.

7 Here, Class Counsel requests reimbursement of \$6,085,336 in costs and
8 expenses. *See Fees Mot. 2*. This includes expenses that are typically charged to fee-
9 paying clients, including filing fees, expert witness fees, mediation fees, deposition
10 expenses, legal research fees, and copying and postage charges. *See id.* at 17-18;
11 Nelson Decl., ¶ 31, Ex. 1; Farris Decl., ¶ 18, Ex. 3, Ex. 4; Noël Decl., ¶ 16, Ex. 4;
12 Audet Decl., ¶ 15, Ex. C. Class Counsel indicate that the expenses are reflected in
13 the books and records of the firms, and they attest that the request is accurate under
14 penalty of law. Nelson Decl.; Farris Decl.; Noël Decl.; Audet Decl. Given the
15 duration, scope, and vigor of this litigation, the Court is satisfied that the costs are
16 reasonable, and therefore **GRANTS** Plaintiffs’ motion for costs in the amount of
17 \$6,085,335.

18 **C. Service Awards for Class Representatives**

19 “Incentive awards are fairly typical in class action cases.” *Rodriguez v. W.*
20 *Publ’g Corp.*, 563 F.3d 948, 958 (9th Cir. 2009). When assessing requests for
21 incentive awards, courts consider five principal factors:

- 22 (1) the risk to the class representative in commencing suit,
23 both financial and otherwise; (2) the notoriety and personal
24 difficulties encountered by the class representative; (3) the
25 amount of time and effort spent by the class representative;
26 (4) the duration of the litigation; (5) the personal benefit (or
27 lack thereof) enjoyed by the class representative as a result
28 of the litigation.

1 *Van Vranken v. Atl. Richfield Co.*, 901 F. Supp. 294, 299 (N.D. Cal. 1995). Further,
2 courts typically examine the propriety of an incentive award by comparing it to the
3 total amount other class members will receive. *See Staton*, 327 F.3d at 975.

4 Here, Class Representatives each request that the Court award each of them
5 (of which there are fourteen in total) a service award in the amount of \$15,000. *See*
6 *Fees Mot.* at 2. The Court agrees that the requested service awards are appropriate.
7 Each Class Representatives searched for and provided facts used to compile the
8 Second Amended Complaint, helped Class Counsel analyze claims, sat for
9 deposition, followed the case throughout its seven-year trajectory, and reviewed and
10 approved the proposed Settlement. Each submitted declarations further explaining
11 the time and effort they expended to benefit the class. Nelson Decl., Exs. 3-16. Like
12 Class Counsel, each dedicated time and effort to benefit the litigation without a
13 prospect of receiving compensation in the immediate future, if ever.

14 Further, the Court is satisfied that the Class Representatives have justified the
15 relative size of their requested enhancement awards compared to the total settlement
16 size and the average class member award Individual Settlement Award. The service
17 awards represents 0.1 percent of the gross Settlement. *See Edwards v. Chartwell*
18 *Services, Inc.*, No. 16-CV-9187-PSG (KSx), 2018 WL 10455206, at *1-2, *8 (C.D.
19 Cal. Aug. 27, 2018) (approving a \$10,000 enhancement award, which was over 25
20 times the average per-member recovery and represented 1.25% of the gross
21 settlement fund, when plaintiff spent approximately 55 hours assisting with the case
22 and risked future job prospects); *Palmer v. Pier 1 Imports*, No.: 8:16-cv-01120-JLS
23 DFMx, 2018 WL 8367495, at *6 (C.D. Cal. July 23, 2018) (approving award
24 representing 3.5% of gross settlement fund when plaintiff spent 20 hours helping
25 with the case and faced employment-related risks); *Downey Surgical Clinic, Inc. v.*
26 *Ingenix, Inc.*, CV 09-5457 PSG (JCx), Dkt. # 250 (slip op.), at *13 (C.D. Cal. May
27 16, 2016) (approving \$20,000 enhancement award for each of two plaintiffs).

1 Accordingly, the Court **GRANTS** Plaintiffs’ request for enhancement awards
2 in the amount of \$15,000 per Plaintiff, for a total of \$210,000.

3 **III. CONCLUSION**

4 For the reasons stated above, Plaintiffs’ motion for approval of attorneys’
5 fees, expenses, and incentive awards is **GRANTED**. Accordingly, it is **HEREBY**
6 **ORDERED AS FOLLOWS**:

- 7 1. Class Counsel is awarded 32 percent of the total settlement amount, or
- 8 \$73.6 million, in attorneys’ fees and \$6,085,336 in costs.
- 9 2. Each of the fourteen Class Representatives is awarded \$15,000 in
- 10 service awards.
- 11 3. The Court finds that these amounts are warranted and reasonable for
- 12 the reasons set forth in the moving papers before the Court, at the Final
- 13 Approval Hearing, and the reasons stated in this Order.

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17 **IT IS SO ORDERED.**

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DATED: _____

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Hon. Philip S. Gutierrez

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